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S/N 10/521630

612.455.3801

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

UEDA, ET AL.

Examiner:

NGUYEN, Linh Thi

Serial No.:

10/521630

Group Art Unit:

2627

Filed:

January 19, 2005

Docket No.:

10873.1556USWO

Title:

DEFICIENCY DETECTING APPARATUS FOR OPTICAL DISK

(as amended)

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on September 1,

2006.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. A copy of the Japanese Office Action, mailed June 6, 2006 is transmitted herewith for the Examiner's reference.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the Filing Date, before the mailing date of a
First Office Action on the merits, or before the mailing date of a First
Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or

\boxtimes	(2)	after the period defined in (1) but before the mailing date of a Final
		Rejection or Notice of Allowance, and

the requisite Statement is below	, OR
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	the requisite fee of \$180.00 under Rule	
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	the reduisite tee of wroo.oo ander redic	. 1.1/(D/13 included neletic of

(3)	after the mailing date of a Final Rejection or Notice of Allowance but on
or	before the payment of the Issue Fee, AND

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the	requisite Statement is b	elow, AND		
the	requisite fee of \$180.00	under Rule 1.17(p) is in	cluded herein.	
	:	STATEMENT		
As req	uired under §1.97(e), A	pplicants hereby state ei	ther that:	
	Statement was first ci		from a foreign patent office han three months prior to	
<u> </u>	Statement was cited in counterpart foreign ap- signing this Statement information contained known to any individu	oplication, and to the kno t after making reasonable I in the Information Disc	a foreign patent office in a wledge of the person inquiry, no item of losure Statement was more than three months	
If this	box is checked, Applica	ant provides the followin	g:	
	Certification	Under 37 C.F.R. §1.70	<u>4(d)</u>	
In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.				
The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.				
	Application No.	Filing Date	. <u>Group</u>	

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

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FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

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PATENT TRADEMARK OFFICE

Dated: September 1, 2006

DPM/acp

Respectfully submitted, HAMRE, SCHUMANN, MUELLER & LARSON, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 (612) 455-3800

By:

Pouglas P. Mueller Reg. No. 30,300 612.455.3801 HSML, P.C. (acp)

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Date Mailed: September 1, 2006

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number:		
IN AN APPLICATION	Applicant: UEDA et al.		
(Use several sheets if necessary)	Filing Date:	Group Art Unit	
·	January 19, 2005	2627	

		U.S	. PATENT DOCUME!	NTS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		DATE OPRIATE
٠		FORE	IGN PATENT DOCUM	IENTS			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
			·				
			W-11-54				
	OTHER I	OCUMENTS (Including Author, Title,	Date, Pertinent F	Pages, Etc.)		
	- Japanese O		ed June 6, 2006, for the			ication No. 2	002-2745
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PATENT TRADEMARK OFFICE		

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

^{*}Substitute Disclosure Statement Form (PTO-1449) -